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## REGISTRATION FORM

### **Deposit Regulations Compliance**

**Program Date: October 17, 2018**

**Presenter: Natalie Straus, CRCM**

The BCAC is pleased to announce a full-day seminar on a variety of deposit compliance topics. The topics that will be covered are designed to provide a simple and effective way to ensure you are up to date on current federal deposit regulations. The major federal regulations governing deposits are explained in plain, easy-to-understand language. You will walk away from the seminar knowing exactly what your institution needs to do to achieve total compliance. Topics will include the following:

- Customer Identification Procedures
- Regulation D
- Regulation E
- Regulation CC
- Regulation DD
- Fair Credit Reporting Act Deposit Issues
- Advertisement of Membership (NCUA/FDIC)
- Bank Sales of Non-Credit Related Insurance
- Responding to Customer Complaints and Inquiries
- Unfair, Deceptive or Abusive Acts or Practices (UDAAP)
- Garnishment of Accounts Containing Federal Benefit Payments

We are very pleased to have as our presenter Natalie Straus, Vice President and Senior Consultant at ProBank Austin.

Ms. Straus provides consulting services in compliance with an emphasis on deposit operations and issues. Additionally, she performs consumer compliance and fair lending reviews as well as HMDA data integrity reviews for clients of varying degrees of size and complexity. She also presents seminars on various regulatory compliance topics for in-house and association sponsored programs and schools. Ms. Straus is a graduate of Washington and Lee University in Lexington, Virginia and the American University, Washington College of Law in Washington, DC. She is a Certified Regulatory Compliance Manager.

Ms. Straus will also be answering any advanced questions that you submit ahead of time, as well as any questions that you might have during the presentation. Please be sure to get those advanced questions submitted to us by October 10, 2018.

<b>Location</b>	Doubletree Hotel, 42 Century Drive Bristol, CT 06010 P: 860-589-7766
<b>Cost</b>	\$ 95 Members; \$ 120 Non-Members
<b>Registration Due</b>	<b>October 10, 2018</b>
<b>Who Should Attend</b>	Compliance Officers; Auditors; Risk Officers; Trustees; Senior Management; Operations, Retail Banking, Branch Administration

**AGENDA**

8:30 am - 9:00 am	Registration	
9:00 am - 10:30 am	Various Deposit Regulations Compliance Topics	Natalie Straus
10:30 am - 10:45 am	Break	
10:45 am - 12:00 pm	Various Deposit Regulations Compliance Topics	Natalie Straus
12:00 pm - 1:00 pm	Lunch	
1:00 pm - 2:15 pm	Various Deposit Regulations Compliance Topics	Natalie Straus
2:15 pm - 2:30 pm	Break	
2:30 pm - 4:00 pm	Various Deposit Regulations Compliance Topics	Natalie Straus

Please list your advance questions on the registration form.  
BCAC program information is also posted on the BCAC Website: [www.bcac-ct.org](http://www.bcac-ct.org).

**If you have any questions regarding this BCAC program please contact:  
Program Chair– David Larocque at: 617-933-3354**

**Bank Compliance Association of Connecticut, Inc.**

**REGISTRATION FORM**  
**Deposit Regulations Compliance**  
**Program Date: October 17, 2018**  
**Registration Due Date: October 10, 2018**

**Fax the Registration Form first by the due date shown above; then send a copy of the**

**Registration Form with a check payable to BCAC to:**

Robin Faircloth

VP, Compliance Officer

Liberty Bank

55 High Street, Middletown, CT 06457

Telephone: 860-344-7298 Fax: 860-343-7429 Email: rfaircloth@liberty-bank.com

ACH payment is also available; contact Robin for details. The BCAC does not accept credit cards.

**Please print or type—**

Name	Phone #	Email	Check Applicable Box	
			\$95 (or in lieu of member)	\$120

Group Discount Policy

If one or more non-member(s) attends with at least four other individuals from the same bank / organization and one individual is a BCAC member, the cost for each attendee is the member rate. All members from the same holding company will be treated as registering from the same organization.

Payment Policy

The BCAC will not be able to accommodate individuals/organizations who have not paid in full for prior registrations/attendance.

Refund Policy:

A refund will be issued if you contact the program chairperson at least 72 hours prior to the scheduled program. The refund will be in the form of a credit toward a future meeting.

Cancellation Policy:

If the program is canceled, it will be canceled one day prior to the program and registered attendees will be notified where possible. In the event of inclement weather, please call the Hotel on the morning of the seminar to inquire on the status of the meeting. A new flyer will be sent to all members announcing the rescheduled program. At the discretion of the BCAC, a refund will be made or credit will be given for a future program.

**Advance Questions**  
Please submit by October 10, 2018

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# *DoubleTree Hotel*

42 Century Drive, Bristol, CT 06010  
860-589-7766

***From Bridgeport Area*** - Take Route 8 North to I-84 East in Waterbury, CT. Continue East on I-84 towards Hartford to Exit 31, end of ramp go left and continue north on route 229 for about three (3) miles. Turn left onto Century Drive. The Double Tree is on your right.

***From New Haven Area*** - Take I-91 North to 691 West, take I-84 East towards Hartford, take Exit 31, end of ramp go left and continue north on route 229 for about three (3) miles. Turn left onto Century Drive. The Double Tree is on your right.

***From Western CT. Area*** - Take I-84 East to Exit 31, end of ramp go left and continue north on route 229 for about three (3) miles, turn left onto Century Drive. The Double Tree is on your right.

***From Hartford Area*** - Take I-84 West to Exit 31, end of ramp go right and continue north on route 229 for about three (3) miles, turn left onto Century Drive. The Double Tree is on your right.

***From Western Mass. Area*** - Take I-90, (Mass. Turnpike) West to I-84 West. Continue on I-84 west to Exit 31, end of ramp go right and continue north on route 229 for about three (3) miles, turn left onto Century Drive. The Double Tree Hotel is on your right.

***From Northern CT. Area*** - Take I-91 South to Hartford I-84. Continue west on I-84 to Exit 31, end of ramp go right and continue north on route 229 for about three (3) miles, turn left onto Century Drive. The Double Tree Hotel is on your right.